

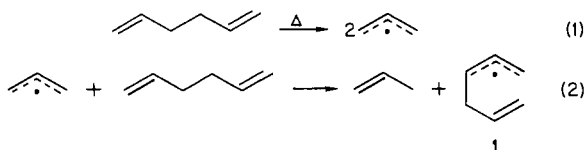
Gas-Phase Thermolysis of 1,5-Hexadiene: The Continuous Wave CO₂ Laser-Induced Reaction and Studies of the Cyclization Pathway

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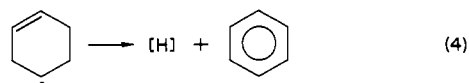
Contribution from the Research Directorate, US Army Missile Laboratory, US Army Missile Command, Redstone Arsenal, Alabama 35898-5248, and Department of Chemistry, The University of Alabama in Huntsville, Huntsville, Alabama 35899. Received December 20, 1984

Abstract: The continuous wave CO₂ laser-induced chemistry of 1,5-hexadiene has been studied at low pressures and at laser powers between 40 W/cm², the threshold for reaction at 40 torr, and 125 W/cm². Irradiation of a 40-torr sample at 100 W/cm² to 85% conversion gave methane (4.4%), ethene (14%), ethyne (7%), propene (46%), 1,2-propadiene (2.1%), 1-butene (8.1%), 1,3-butadiene (6.6%), 1,3-cyclopentadiene (2.7%), and benzene (5.9%) along with several minor and trace products. The hexadienyl radical **1** is a likely cyclization intermediate. However, 4-methylcyclopentene, the major product expected from cyclization of **1** and hydrogen abstraction, is present to the extent of less than 0.1%, suggesting that this radical cyclization pathway is either unimportant or the cyclopentenylmethyl radical undergoes rapid reversion to **1**. Radical **1** has been independently prepared in the gas phase and in solution, and products of its reactions have been identified. In the gas phase, **1** appears to principally undergo hydrogen atom loss giving (*E*)- and (*Z*)-1,3,5-hexatriene which, under the conditions employed, give 1,3-cyclohexadiene. In solution **1** gives 1,4- and 1,5-hexadiene (60.6%) and 4-methylcyclopentene (37.3%), but no cyclohexene. The mechanisms of formation of cyclic products upon pyrolysis of 1,5-hexadiene are discussed in light of the results obtained. Factors accounting for the observed differences between solution and gas-phase products are also discussed.

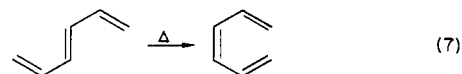
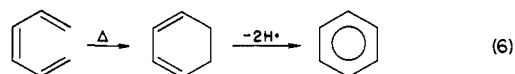
The gas-phase pyrolysis of 1,5-hexadiene (diallyl) is a fascinating process which results in aromatization of a portion of the starting material.¹⁻³ The main products observed are propene, benzene, ethylene, 1,3-butadiene, 1,3-cyclopentadiene, 1-butene, cyclopentene, and 1,3-cyclohexadiene. Aside from the significant practical interest in aromatization processes,⁴ there are important mechanistic considerations, especially regarding the possible cyclization pathways. Allyl radicals, having been mass spectroscopically identified^{5,6} and chemically trapped¹ upon heating 1,5-hexadiene, are mechanistically implicated. It is generally accepted^{2,3} that allyl radicals initiate cyclization by reacting with 1,5-hexadiene to form the substituted allyl radical **1**, eq 1 and 2.



Benzene has been proposed to arise by two routes. Ruzicka and Bryce² suggested that radical **1** undergoes cyclization followed by hydrogen loss, eq 3 and 4. They assumed, but did not observe, cyclohexadiene intermediates. Nohara and Sakai³ did identify

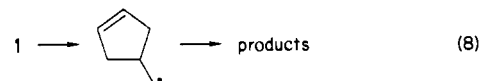


1,3-cyclohexadiene as a secondary product and proposed that **1** initially gives the hexatrienes, eq 5. The *cis*-triene readily ring closes, eq 6. Isomerization of the *trans*- to the *cis*-triene, eq 7, which is known to occur at high temperatures,^{7,8} is proposed to complete the sequence. To support their mechanism Nohara and Sakai identified 1,3,5-hexatriene as a minor product whose yield decreases with increasing conversion. They also cited the work of James and Troughton,⁹ who observed the dependency of



1,3,5-hexatriene and 1,3-cyclohexadiene yields on temperature. Thus, the Nohara-Sakai mechanism is more firmly established than the one proposed by Ruzicka and Bryce.

Do the two mechanisms compete? It is now well established that 5-hexenyl radicals rapidly cyclize, but five- rather than six-membered rings are preferred.¹⁰⁻¹² Therefore, a cursory assessment may suggest that, if the Ruzicka-Bryce mechanism is occurring, products from the five-membered cyclic radical, eq 8, rather than from the six-membered cyclic radical, eq 3, should



be present. Cyclopentene and 1,3-cyclopentadiene could be postulated to arise via eq 8; however, other routes are proposed.^{2,3}

We have sought evidence for duality of mechanism by (i) carefully examining the products from the laser-assisted gas-phase

- (1) Akers, R. J.; Throssell, J. J. *Trans. Faraday Soc.* **1967**, *63*, 124.
- (2) Ruzicka, D. J.; Bryce, W. A. *Can. J. Chem.* **1960**, *38*, 827.
- (3) Nohara, D.; Sakai, T. *Ind. Eng. Chem. Prod. Res. Dev.* **1973**, *12*, 322.
- (4) Aromatization of hexadienes is typically a metal-catalyzed process, cf.: Isagulyants, G. V.; Dubinskii, Y. G.; Rozengart, M. I. *Izv. Akad. Nauk SSSR, Ser. Khim.* **1981**, *2*, 462. Paal, Z.; Tetenyi, P. *J. Catal.* **1973**, *30*, 350.
- (5) Lossing, F. P.; Ingold, K. U.; Henderson, I. H. *J. Chem. Phys.* **1954**, *22*, 621.
- (6) Bryce, W. A.; Ruzicka, D. J. *Can. J. Chem.* **1960**, *38*, 835.
- (7) Rose, T. L.; Seyse, R. J.; Crane, P. M. *Int. J. Chem. Kinet.* **1974**, *6*, 899.
- (8) Orchard, S. W.; Thrush, B. A. *J. Chem. Soc., Chem. Commun.* **1973**, 14.
- (9) James, D. G. L.; Troughton, G. E. *Trans. Faraday Soc.* **1966**, *62*, 145.
- (10) Beckwith, A. L. *J. Tetrahedron* **1981**, *37*, 3037.
- (11) Griller, D.; Ingold, K. U. *Acc. Chem. Res.* **1980**, *13*, 317.
- (12) This preference was unknown when the Ruzicka-Bryce article appeared and later workers have not discussed it. For an historical overview, see: Wilt, J. W. In "Free Radicals"; J. Kochi, Ed.; New York: Wiley, 1973.

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pyrolysis of 1,5-hexadiene under varying conditions, (ii) independently preparing radical **1** in the gas phase and in solution and observing the products produced, and (iii) subjecting *cis*- and *trans*-1,3,5-hexatriene to the same conditions used for 1,5-hexadiene.

Experimental Section

Materials. 1,5-Hexadiene and 1,3,5-hexatriene (mixture of ca. 40% *cis* and 60% *trans* by GC) were obtained commercially (Aldrich) and used without further purification. 3-Bromo- and 3-chloro-1,5-hexadiene were custom products (Zutaut Specialty Chemicals) and were found by GC and NMR analysis to be mixtures of *cis*- and *trans*-6-halo-1,4-hexadiene and the 3-halo-1,5-hexadiene isomer. The mixtures were used for the experiments described. Tri-*n*-butylstannane was purchased (Alfa) and stored at $-20\text{ }^{\circ}\text{C}$ until used.

Infrared Spectra and Gas Chromatography. IR spectra were collected on a Mattson Sirius 100 FT-IR spectrometer equipped with a KBr/Ge beamsplitter and a triglycine sulfate (TGS) detector. Interferograms were transformed after applying a triangular apodization function with an effective spectral resolution of 1.0 cm^{-1} . This resolution was sufficient to allow unequivocal identification of products and (unreacted starting material) from characteristic IR frequencies, provided that the product has absorption bands which were unobscured by other products or reactant. The following products were identified by their characteristic IR bands (cm^{-1}): methane (1306),¹³ ethene (949),¹³ ethyne (729),¹³ propene (912, 990),¹⁴ propyne (633, 1249, 3334),¹⁵ 1,2-propadiene (800–900),¹⁶ 1,3-butadiene (908),¹⁷ 1,3-cyclopentadiene (664),¹⁸ 1,3-cyclohexadiene (658),¹⁹ benzene (674, 1038),¹³ *trans*-1,3,5-hexatriene (899).²⁰

GC analyses were performed at low temperatures on a Hewlett-Packard Model 5840A chromatograph equipped for liquid CO_2 cooling and with a 15 m OV 101-coated capillary column. Analyses above ambient were routinely performed on a Varian Model 4600 chromatograph with a 25 m OV 101-coated capillary column. Each instrument utilized a flame ionization detector and was operated in a split mode. Peaks were electronically integrated, and the percentages reported are response corrected. Temperature-programmed runs starting at $-40\text{ }^{\circ}\text{C}$ were utilized to separate the main components of the 1,5-hexadiene and halo-1,5-hexadiene reaction mixtures. All components identified in Tables I and II were confirmed by the use of authentic samples except as stated. Ethene and ethyne could not be separated at $-40\text{ }^{\circ}\text{C}$ with the column used. However, the ethyne-ethene composition was determined by use of the FT-IR spectrum of the products and composition calibration curves for the components. Propyne was also identified by FT-IR and assigned in the GC chromatogram based on its assumed retention time as compared to the other standards.

Laser Photochemical Experiments. IR laser excitation in the range of the $\text{C}=\text{C}-\text{H}$ out-of-plane bending vibration was provided by a coherent Radiation Laboratories Model 41 continuous wave (CW) CO_2 laser. The exact laser frequencies were verified with an Optical Engineering CO_2 spectrum analyzer. In single-line operation, output powers up to 150 W could be obtained by varying the current and the (CO_2 - N_2 -He) gas mixture in the laser tube. Laser irradiation times were controlled by a clock-operated solenoid (0.1 s time intervals).

1,5-Hexadiene exhibits IR absorption between 1050 and 890 cm^{-1} with the most intense vibration between 940 and 890 cm^{-1} . The P(36) [$00^{\circ}1 - 10^{\circ}0$] line of the CO_2 laser at 929.02 cm^{-1} is resonant with this band and was the main excitation frequency used in this study. Laser irradiation powers were varied from 25 to 125 W/cm^2 and irradiation times from 0.2 to 40 s were used. The 1,5-hexadiene sample pressure was normally ca. 40 torr before irradiation.

The P(40) line at 924.97 cm^{-1} was used to irradiate samples of the bromo- and chlorohexadienes and 1,3,5-hexatriene. Because of its low vapor pressure, the concentration of the bromohexadiene was much lower (ca. 4 torr) than normal; therefore, although the bromohexadiene sample reacted, conversion was low.

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(14) Gross, B.; Forel, M. T. *J. Chem. Phys.* **1965**, *62*, 1163.

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(16) Mills, I. M.; Smith, W. L.; Duncan, J. L. *J. Mol. Spectrosc.* **1965**, *16*, 349.

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(18) Gallinella, E.; Fortunato, B.; Mirone, D. *J. Mol. Spectrosc.* **1967**, *24*, 345.

(19) Lauro, C. D.; Neto, N.; Califano, S. *J. Mol. Struct.* **1969**, *3*, 219.

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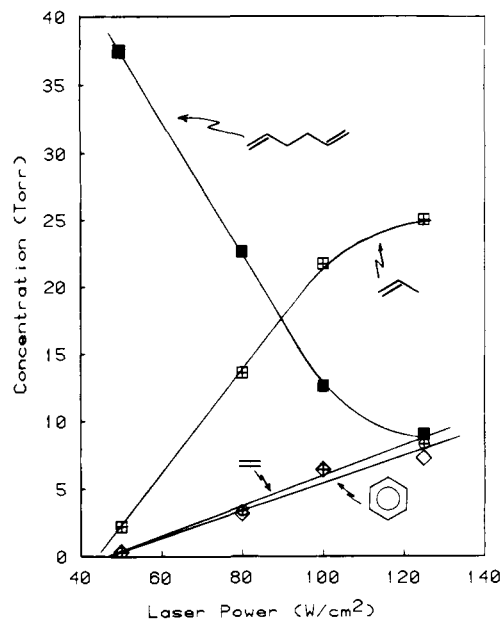


Figure 1. Plot of concentration of 1,5-hexadiene, propene, ethene, and benzene after reaction of 1,5-hexadiene (40 torr) at various laser power levels with a total energy input of 1000 J.

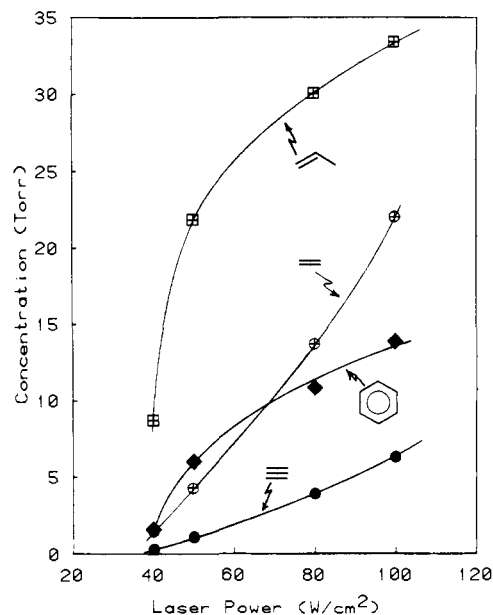


Figure 2. Plot of concentration of propene, ethene, benzene, and ethyne after reaction of 1,5-hexadiene (80 torr) at various laser power levels with a total energy input of 1000 J.

Reaction of **1 in Solution.** Following published procedures,²¹ the isomeric mixture of (*E*)- and (*Z*)-6-bromo-1,4-hexadiene and 3-bromo-1,5-hexadiene (0.61, 3.8 mmol), tri-*n*-butylstannane (1.1 g, 3.8 mmol), and azobisisobutyronitrile (2 mg) was dissolved in phenyl ether (10 mL) in a thick-walled glass reaction vessel. The solution was degassed (freeze-thawed), sealed, and heated to $100\text{ }^{\circ}\text{C}$ for 4 h. GC analysis of the resulting solution revealed the following yields of products: 4-methylcyclopentene (37.3%), cyclohexene ($<0.1\%$), 1,5-hexadiene (17.9%), (*E*)- and (*Z*)-1,4-hexadiene (42.7%), and minor products (2.1%).

Results and Discussion

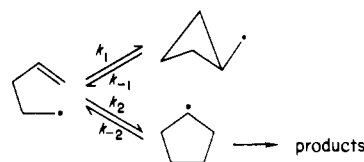
1,5-Hexadiene (40 torr) was irradiated at 1000 J at various CO_2 laser power levels, and the IR spectrum was obtained. From these experiments and a series of concentration vs. IR peak height curves, a plot of the laser power vs. concentration of 1,5-hexadiene,

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Table II. Products of Reaction of Hexadienyl Bromides and Chlorides in the Gas Phase and Solution

substrate structure	reaction conditions	low MW components				product yields (%)											
		C ₂	C ₃	C ₄	C ₅	1,3	14.1	3.6	3.1	17.9	37.3	<0.1	4.9	14.6	5.4	1.7	
5-7	CW CO ₂ , 100 W/cm ² , 20 s, 5 torr	28.9	7.9	17.6	1.3	14.1	3.6	3.1	17.9	37.3	<0.1	4.9	14.6	5.4	1.7		
2-4	CW CO ₂ , 75 W/cm ² , 20 s, 14 torr	—	6.3	4.5	2.3	14.1	3.6	3.1	17.9	37.3	<0.1	4.9	14.6	5.4	1.7		
5-7	soln in Ph ₂ O, AIBN, (Bu) ₃ SnH, 4 h, 100 °C	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—

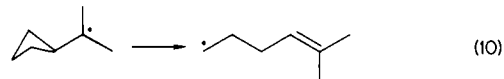
Scheme II



reaction pathways.²³⁻²⁶ However, results discussed below suggest that the C₂ and C₄ products most likely come from radical 1.⁹

Cyclization Mechanisms. Evidence from other studies^{6,25-27} suggests that allyl radicals may react with ethene and with ethyne to directly form the cyclic C₅ products observed here. For example, Nohara and Sakai²⁷ found that cyclopentadiene amounted to greater than 90% of the C₅ products when allyl radicals reacted with ethyne under conditions similar to those used in their 1,5-hexadiene pyrolysis studies. In the reaction of ethene and allyl radicals, cyclopentene was the major C₅ product, but a substantial amount of 1-pentene was also present.^{6,25} The suggested mechanism for formation of cyclopentene²⁵ is stepwise addition to form the 4-penten-1-yl radical with cyclization leading to the cyclopentyl radical; hydrogen atom loss completes the sequence. The suggested radical cyclization is unknown in solution and, as written, gives the wrong regioisomer based on experimental and theoretical knowledge.^{10,28,29} Beckwith¹⁰ has estimated that the 4-penten-1-yl radical would go 100% to the cyclobutylmethyl radical (exo mode) rather than to the cyclopentyl radical (endo mode). However, attempts to observe this in solution have led to noncyclic products.^{10,24}

Sakai and Nohara²⁵ rationalized their gas-phase products by suggesting that the 4-penten-1-yl radical had excess vibrational energy (chemical activation²³⁻²⁶). Watkins and Olsen²⁶ have also discussed the apparently abnormal gas-phase regioselectivity of the 4-penten-1-yl radical cyclization using the same argument. Recent experiments of Beckwith et al.²⁴ provide a basis for an alternate explanation. They observed that a wide variety of cyclobutylmethyl radicals readily opened to the corresponding 4-penten-1-yl radicals when the former were formed in solution. Even tertiary cyclic radicals were found to readily ring open to primary radicals, eq 10. With this knowledge, we suggest that



both cyclization modes may be competitively occurring with the exo mode being greatly preferred (>99%). However, the cyclic radical formed by exo mode cyclization is kinetically unstable and readily reverts to the open-chain radical. The endo mode occurs much more slowly but produces a kinetically stable cyclic radical.¹⁰ The situation is shown in Scheme II. Since we anticipate that $k_1 \gg k_2$, $k_{-1} \approx k_1$, and $k_{-1} \gg k_{-2}$, in the gas phase, only products from the favored process may result (thermodynamic control).

Accounting for the six-membered-ring products is complicated by the fact that a non-radical cyclization pathway, i.e., eq 6, may compete with a radical pathway, i.e., eq 3, as mentioned earlier. To ascertain if these processes compete, we explored the alternate pathways. By irradiation of a mixture of (*E*)- and (*Z*)-1,3,5-hexatriene under conditions similar to laser-induced experiments with 1,5-hexadiene, we found that the *cis*-triene was totally converted to 1,3-cyclohexadiene and the *trans*-triene was rapidly converted apparently to the *cis*-triene and then to 1,3-cyclohexadiene. In a typical experiment, a triene mixture (20 torr), initially 55% *trans*, was irradiated at 100 W/cm² for 5 s, giving (by GC analysis) 1,3-cyclohexadiene (83.4%) and *trans*-1,3,5-

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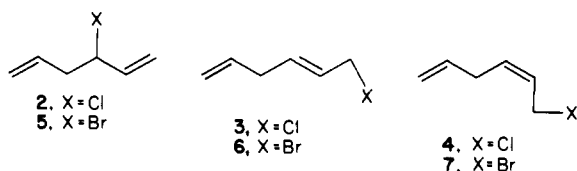
(27) Nohara, D.; Sakai, T. *Ind. Eng. Chem. Fundam.* **1980**, *19*, 340.

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(29) Bischof, P. *Tetrahedron Lett.* **1979**, 1291.

hexatriene (15.5%). The only other components present in the chromatogram were several minor peaks (total = 1.1%) just above the noise level. As no benzene was formed, radicals are absent since Benson and Shaw found that 1,3-cyclohexadiene readily forms benzene in the presence of radicals.³⁰ The results of this experiment are consistent with the reactions shown in eq 6 and 7.^{7,8}

To determine the course of reaction of radical **1**, the mixture of chloride precursors **2-4** (14 torr) was irradiated in the gas cell for a time sufficient to convert 83% of reactants to products. GC analysis of the products revealed the mixture shown in Table II. The unreacted starting material was found to have a composition (**2:3:4** = 72:16:12) similar to the starting composition (**2:3:4** = 83:10:7). Therefore, each isomer was converted to product with **2** being slightly more reactive than **3** and **4** as expected. Chlorine was present in the product mixture as HCl (by IR analysis). While concerted elimination of HCl may account for most of the 1,3,5-hexatriene (vide infra),³¹ radical **1** is undoubtedly formed as evidenced by the presence of products which require radical precursors: 1,4-hexadiene, 1,5-hexadiene, benzene, propene, etc. Thus at least a part of the 1,3-cyclohexadiene must have come from radical **1**. Because *trans*-1,3,5-hexatriene is an observed product, eq 5-7 are occurring to some extent. Unfortunately, no product is formed which *must* be accounted for by invoking a radical cyclization pathway. All of the cyclic products *could* arise via eq 6 and 7.



Since a weaker C-X bond favors formation of **1**, the bromohexatriene isomers **5-7** were subjected to laser photolysis, Table II. Indeed from the products formed, there is substantial evidence that the yield of radical **1** was higher from the bromide precursors. First, the yield of 1,3-cyclohexadiene is substantially reduced, indicating a lowered yield of the concerted HX elimination pathway.³¹ Also, the production of greater quantities of C₂, C₃, and C₄ products is evidence of the intermediacy of **1**. James and Troughton⁹ suggested that **1** is a primary source of 1-butene, 1,3-butadiene, ethene, and ethyne. Perhaps the most significant indicator of the presence of radical **1**, and for its cyclization, is the presence in this reaction of 1,4-cyclohexadiene. Although 1,4-cyclohexadiene is known to give benzene by concerted loss of H₂,³⁰ we found that 1,4-cyclohexadiene is not totally converted under our reaction conditions. 1,4-cyclohexadiene is best accounted for by invoking cyclization of **1** to the six-membered ring, eq 3, followed by loss of a H radical from the cyclic radical to give 1,4-cyclohexadiene (along with the favored 1,3-diene, eq 4).

To assess the ring-size preference in the cyclization of radical **1**, we turned to the solution procedure used by other workers for

such studies.^{10,32} With use of the isomeric bromohexatriene isomer **5-7**, the radical reaction to produce **1** was carried out and the products were determined by GC, Table II. The noncyclic products were high in this reaction not only because the solution was reasonably concentrated but because radical **1** has both *cis* and *trans* configurations.³³ Nevertheless, cyclohexene, the product anticipated from cyclization of **1** to a six-membered ring, was below the detectable limit (0.1%). Therefore, the five-membered-ring product, formed in 37% yield, is highly favored kinetically.

In light of the above solution results, we must account for the lack of products derived from the cyclopentenylmethyl radical (e.g., 4-methylcyclopentene) or assume that the radical cyclization pathway is not occurring in the gas phase. We believe the argument developed above to explain the regioselectivity of cyclization of the 4-penten-1-yl radical also applies in this instance. Thus, in the gas-phase pyrolysis of 1,5-hexadiene, radical **1** is an intermediate which reacts by competing pathways. Our evidence indicates that some portion of **1** is converted into the 1,3,5-hexatrienes (eq 5). However, radical cyclization also occurs by eq 3 and 8. The cyclopentenylmethyl radical, which forms faster than the corresponding six-membered-ring cyclization product, is kinetically unstable and reverts to **1**. Thus, only the six-membered rings survive. In addition to the precedents mentioned above, Gordon and Smith found that cyclohexyl radicals ring-open in the gas phase above 300 °C to give the open-chain radical which cyclizes in part to methylcyclopentane.³⁴ Methylcyclopentane, however, is not among the products above 420 °C.³⁴ Since it is known that, at least for small rings, the radical formed from *exo* mode cyclization is less stable than the isomeric radical from *endo* mode cyclization,¹⁰ at high temperatures thermodynamic control is observed. Hence, the *endo* mode product results.

Finally, we must comment on the differences between the gas-phase and solution products. Lamb et al. were the first to comment of the differences on comparing their solution reactions of the 5-hexen-1-yl radical with the results of Gordon and Smith.^{34,35} There are a number of obvious differences such as the solvent which provides such a dominant quenching reaction. This is critically important when the reversibility of the cyclization reactions is considered.

Registry No. **2**, 28374-86-9; **3**, 92454-89-2; **4**, 92454-88-1; **5**, 53737-88-5; **6**, 95912-28-0; **7**, 81439-10-3; 1,5-hexadiene, 592-42-7; (*E*)-1,3,5-hexatriene, 821-07-8; (*Z*)-1,3,5-hexatriene, 2612-46-6.

(32) Similar solution experiments showing that the benzo derivative of radical **1** also favored five-membered-ring formation appeared after our work was complete: Franz, J. A.; Barrows, R. D.; Camaioni, D. M. *J. Am. Chem. Soc.* **1984**, *106*, 3964.

(33) On the basis of experimental and theoretical treatments of simpler radicals, there should be about a kcal/mol difference in the energy of the (*E*) and (*Z*) forms of **1** and about a 10-15 kcal/mol barrier to isomerization. cf.: Schleyer, P. v. R.; Dill, J. D.; Pople, J. A.; Hehre, W. J. *Tetrahedron* **1977**, *33*, 2497. Montague, D. C. *Int. J. Chem. Kinet.* **1973**, *5*, 513. Gorton, P. J.; Walsh, R. *Chem. Commun.* **1972**, 783. Golden, D. M.; Gac, N. A.; Benson, S. W. *J. Am. Chem. Soc.* **1969**, *91*, 2137.

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(35) Lamb, R. C.; Ayers, P. W.; Toney, M. K. *J. Am. Chem. Soc.* **1963**, *85*, 3483.

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